

10/517367

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Miller, Donald et al.
 Serial No: TBD (Based on PCT/US03/18511)
 Filed: Herewith (December 10, 2004)
 For: Method and Apparatus for Improving Both Lateral and Axial Resolution in Ophthalmoscopy

GAU: TBD
 Confirmation No. TBD
 Examiner: TBD

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

Mail Stop Amendment
 Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

Sir:
 Applicant(s) wish to disclose the following information.

REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- Attached is a copy of applicant's pending application(s) or issued patent(s) which may be related to the present application. These documents are listed on form PTO-1449, also attached.
- A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- Each item of information contained in this information disclosure statement was cited for the first time in any communication from a foreign patent office in any counterpart foreign application not more than three months prior to the filing of this statement.
- No item of information contained in this information disclosure statement was cited for the first time in any communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.
- This Information Disclosure Statement is being filed within three months of the filing date of the subject patent application.
- This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits.

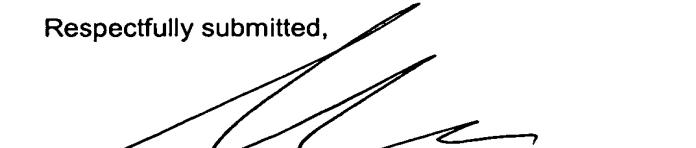
PETITION

- Applicant(s) hereby request consideration of the attached information. A check is attached in the amount of the Petition fee required under 37 CFR §1.17(i)(1).

DEPOSIT ACCOUNT

- Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 23-2185. A duplicate copy of this sheet is enclosed.

Respectfully submitted,



Michael C. Greenbaum
 Attorney of Record
 Registration No. 28,419

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

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of 2

Complete if Known **10/517367**

Application Number	tba (US national phase of PCT/US03/18511)
Filing Date	Herewith
First Named Inventor	Donald T. Miller et al.
Art Unit	TBD
Examiner Name	TBD

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U. S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
		WO 99/65431 A1	12-23-1999	Lions Eye Institute of Western Australia	See PCT search report	Document is in English.
		WO 00/59368 A1	10-12-2000	Université Paris 7 – Denis Diderot	See PCT search report	No, but US equivalent is cited herewith.
		EP 0 659 383 A2,A3,B1	06-28-1995	Humphrey Instruments, Inc.	See PCT search report	Document is in English.

**Examiner
Signature**

Date
Considered

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Application Number	tba (US national phase of PCT/US03/11511) 107517367
Filing Date	Herewith
First Named Inventor	Donald T. Miller
Art Unit	TBD
Examiner Name	TBD
Attorney Docket Number	000687-00355

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NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		M. WOJTKOWSKI ET AL, "Ultrahigh-resolution, high-speed, Fourier domain optical coherence tomography and methods for dispersion compensation," <i>Optics Express</i> , May 31, 2004, Vol. 12, No. 11, pp. 2404-2422, © 2004 Optical Society of America.	Document is in English.
		B. CENSE ET AL, "Ultrahight-resolution high-speed retinal imaging using spectral-domain optical coherence tomography," <i>Optics Express</i> , May 31, 2004, Vol. 12, No. 11, pp. 2435-2447 © 2004 Optical Society of America.	Document is in English
		B. HERMANN ET AL, "Adaptive-optics ultrahigh-resolution optical coherence tomography," <i>Optics Letters</i> , September 15, 2004, Vol. 29, No. 18, pp. 2142-2144, © 2004 Optical Society of America.	Document is in English.

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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